# Non-linear reduction in nitrous oxide emissions through alternative management of groundnut and millets in India

Results and discussion of a multi-year nitrous oxide emissions measurement study conducted in semi-arid peninsular India

Kritee Kritee, Drishya Nair, Somashekar Balakrishna, Leela Venkataiah, Shalini Reddy, Obulapathi Dava, Ramakrishna V. Dokka, Daniel Zavala-Araiza, Joseph Rudek, Terrance Loecke, V. Manikandan, Jeremy Proville, Udaya Vaddi and Richie Ahuja

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# Non-linear reduction in nitrous oxide emissions through alternative management of groundnut and millets

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### **Executive summary**

Agricultural soils account for about two-thirds of the global anthropogenic flux of nitrous oxide ( $N_2O$ ), the third most important greenhouse gas after carbon dioxide and methane. Most of the increasing levels of  $N_2O$  emissions over the past four decades can be attributed to the inefficient use of nitrogen fertilizers as farmers pursue higher crop productivity. Therefore, fertilizer use is a subject of country-level climate-mitigation conversations as well as international discussions within the United Nations Framework Convention on Climate Change (UNFCCC). If we have to meet global food security goals while avoiding catastrophic climate crisis, then we should invest in identifying better fertilizer management practices that accomplish the three goals of climate-smart farming (i.e., yield and profit improvement, climate resilience and climate mitigation). Additionally, we should improve estimations of global agricultural  $N_2O$  emissions through more rigorous calculation of N<sub>2</sub>O emission factors (EF) in different agro-ecological regions across the world. Accurate determination of emission factors used to estimate current and future N<sub>2</sub>O emissions will have a profound impact on which geographies, crops or soil types are targeted for promotion of climate-smart farming practices around the world. Accurate determination of emission factors is especially important for the cropping systems managed by smallholder farmers in emerging economies and in the tropical parts of the world where soil quality is generally poorer, there is a wider range in rates of nitrogen use among farmers and/or

where the number of technical studies reporting N<sub>2</sub>O emissions is limited as compared to other parts of the world.

India's latest biennial national communication to UNFCCC released in 2021 estimated nitrous oxide ( $N_2O$ ) emissions from agricultural soils to be about 250 Gg of  $N_2O$  with the use of inorganic fertilizers contributing to over 75% of these emissions. The Indian government uses a linear and fixed emission factor of 0.58% for estimating these  $N_2O$  emissions. This Indian emission factor implies that there is a linear relationship between nitrogen fertilizer application rate and  $N_2O$  emissions. Specifically, it implies that for every 100 kilogram (kg) of nitrogen in fertilizers applied by a farmer in India, 0.58 kg will get converted to nitrogen in nitrous oxide. In contrast, scientists across the world accept that the emission factors vary widely and that there is a faster than linear (i.e., superlinear) increase in  $N_2O$  emissions with increasing nitrogen inputs.

This technical report investigates and attempts to answer two questions.

- 1. As compared to business-as-usual practices, can alternative practices that involve application of lower N fertilizers rates maintain (or even increase) yields and incomes of smallholder farmers who grow groundnut and millets in India?
- 2. Do N<sub>2</sub>O emissions from Indian cropping systems also change non-linearly in response to changing nitrogen use?

Below, we explain results from our multi-year study conducted at five farms in India between 2012 and 2015 to measure  $N_2O$  emissions from up to four different nitrogen application rates for three different Indian cropping systems. In brief, we show that carefully chosen alternative practices (with lower N rates) can indeed achieve a triple win such that farmers get better or similar yields, similar or higher profits and much less  $N_2O$  emissions. Despite 65-80% lower total N application rates, the Low-N alternative practices led to similar or higher yields when compared with High-N business-as-usual treatments. While our dataset is limited to just a few farms, our Low-N treatments did result in 5-25% higher net revenue than high N, business-as-usual "farmer" treatments. Our study clearly shows that reducing excess N application rates in these systems can lead to lower  $N_2O$  emissions while increasing or maintaining yields and profits. These results should encourage researchers and practitioners to investigate other systems where reducing N application could improve productivity and profits while also leading to environmental benefits.

Additionally, there is indeed a faster than linear (i.e., superlinear) increase in  $N_2O$  emissions with increasing nitrogen inputs in Indian cropping systems, which is similar to the trends observed in scientific studies across the world. Our results also suggest that Indian  $N_2O$ 

budgets might be significantly altered by replacing the constant Indian 0.58% EF with an N-ratedependent non-linear EF. In particular, this change would lower N<sub>2</sub>O emissions estimates in regions where farmers predominantly use low rates of nitrogen fertilizers while increasing N<sub>2</sub>O emissions estimates in regions where high rates of nitrogen fertilizers beyond crop needs are common. We note that if linear equations are forced on our dataset, the resulting EFs for the entire range of fertilizer rates used in our study will be between 1.8 and 3.5% for different crops. These "forced" linear EFs seem significantly higher than both the average global EF recommended by United Nations' Intergovernmental Panel on Climate Change (1%) and the regional EF used by the Indian Government (0.58%). However, it is crucial to understand that our N<sub>2</sub>O data is nonlinear and implies N<sub>2</sub>O emissions that are similar to (or even lower than) those based on Indian EFs of 0.58% when N application rates are less than 20-25 kg N per hectare. Our data implies significantly higher EFs as compared to 0.58% or 1% only when N rates are much higher than crop N needs. Thus, both IPCC and the Indian government's EFs could be significantly underestimating the amount of the mitigation potential of different climate smart farming practices in India when N applications rates are higher than crop needs.

In line with previous research in other parts of the world, this report suggests that the largest agricultural mitigation gains (with respect to both  $N_2O$  emissions per unit area and  $N_2O$  emissions per unit yield) in India are to be made where fertilizer N is applied in great excess of the crop needs (e.g., in many irrigated areas of the Indo-gangetic plain in India). Additionally, an understanding of non-linear changes in  $N_2O$  emissions can inform N use efficiency in rainfed cropping systems in India which currently involve low N application rates where relatively small increase in  $N_2O$  emissions will occur when farmers use modestly higher rates of nitrogen fertilizers to improve their yields.

This report shows clearly that it is possible to improve farmers' yields and income while reducing climate impacts of crop production in India. With sufficient support for development and implementation, tailored advisory tools that help Indian farmers optimize their N fertilizer inputs have potential to achieve these outcomes. In the interest of global food and economic security, we also recommend continued research on yield-scaled N<sub>2</sub>O response curves from other tropical and developing parts of the world.

## **Technical Abstract**

Nitrous oxide ( $N_2O$ ) emissions response curves for crops grown outside temperate regions have been rare and have thus far arrived at conflicting conclusions. Most studies reporting  $N_2O$ emissions from tropical cropping systems have examined only one or two N fertilizer application rate(s) which precludes the possibility of discovering nonlinear changes in emission factors (EF, % of added N converted to  $N_2O$ -N) with increasing fertilizer-N rates.

To examine the relationship between N rates and N<sub>2</sub>O fluxes in a tropical region, we compared farming practices with three or four N rates for their yield-scaled impacts from three crops in peninsular India. We measured N<sub>2</sub>O fluxes during nine seasons between 2012 and 2015, with N application rates ranging between 0 and 70, 0 and 90, and 0 and 480 kg-N ha<sup>-1</sup> for foxtailmillet (*Setaria italica* L., locally called korra), groundnut (*Arachis hypogaea* L., also called peanut) and finger-millet (*Eleusine coracana* L., locally called ragi), respectively. In two cases, the highest N application rate greatly exceeded crop-N needs. Despite 65-80% lower total N application rates, the Low-N alternative practices led to statistically similar or higher yields when compared with High-N business-as-usual treatments. If average yields from these two treatments are compared, Low-N treatment yields were found to be 25-35% higher than those from High-N treatments in many cases because of the addition of more organic matter and/or other nutrients. Potential climate smart farming agricultural practices (with low/optimized N rates) led to a 50-150% reduction in N<sub>2</sub>O emissions intensity (per unit yield) along with a reduction of 0.2-0.75 tCO<sub>2</sub>e ha<sup>-1</sup> season<sup>-1</sup> as compared to high N conventional applications.

We found a non-linear increase in  $N_2O$  flux in response to increasing applied N for both N-fixing and non N-fixing crops and the extent of super-linearity for non N-fixing crops was much higher than what has been reported earlier. If a linear fit is imposed on our datasets, the emission factors (EFs) for finger-millet and groundnut were ~3.5% and ~1.8%, respectively. Our data shows that for low-N tropical cropping systems, even when they have low soil carbon content, increase in N use to levels just above crop needs to enhance productivity might lead to relatively small increase in  $N_2O$  emissions as compared to the impact of equivalent changes in fertilizer-N use in systems fertilized far beyond crop N needs.

## **Keywords**

Nitrous oxide; India; Agricultural climate mitigation; Sustainable farming; Climate smart farming; Non-linear emission factors; Nitrogen surplus; Peanut; Groundnut; Millet; Finger-millet; Foxtail-millet

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## Datasets

Supporting datasets associated with this report (Tables S1 to S13) are available on the Dryad portal at the persistent identifier: <u>https://doi.org/10.5061/dryad.cfxpnvx5r</u>

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# Non-linear reduction in nitrous oxide emissions through alternate management of groundnut and millets

## Introduction

The climate crisis is very likely to fundamentally alter the structure of food systems around the globe (1, 2). With about 43% of the world's population employed in agriculture, and given that agriculture and associated land use change account for a quarter of total global greenhouse gas (GHG) emissions (3), it's vital that farmers have the knowledge and tools both to mitigate and to adapt to climate change.

The climate impacts of upland crops are primarily due to emissions of nitrous oxide (N<sub>2</sub>O), a potent greenhouse gas (4). The atmospheric concentration of N<sub>2</sub>O, the third most important greenhouse gas after carbon dioxide (CO<sub>2</sub>) and methane (CH<sub>4</sub>) in terms of the effect on net radiative forcing globally (5), have been rising exponentially in the past 150 years. Emissions from agricultural soils account for 50% of the total global anthropogenic flux of N<sub>2</sub>O (6) and most of it can be attributed to the use of N fertilizers which trigger microbial processes of nitrification/denitrification (4, 7-9).

Agricultural  $N_2O$  emissions are a subject of international discussion within the United Nations Framework Convention on Climate Change (UNFCCC). Accurate  $N_2O$  emission factors (EF) across agricultural systems are urgently needed from developing countries to improve current and future estimations of global agricultural GHG emissions (10). It is important to understand how  $N_2O$  emissions vary with changing N application rates because N-fertilizer rates applied by smallholder farmers in developing countries in tropical regions of the world vary a great deal for a given crop within a given agro-ecological zone. Observations in temperate regions of the world suggest that  $N_2O$  emissions accelerate with increased N application (11-13). This "superlinear" (i.e., faster than linear) response is likely due to the relatively greater excess N unused by the crops at higher fertilization levels; this residual soil N is available to be lost or emitted in multiple forms including as  $N_2O$  (11, 13-17). For N2O, this superlinearity could be partially due to an increase in  $N_2O$ :  $N_2$  ratio because of inhibition of nitrous oxide reductase at higher rates of N addition (18). The rate of increase in  $N_2O$  emissions as a function of increasing N inputs has been found to be faster in soils with higher (>1.5 %) soil organic carbon levels (13).

Overall, reduced uncertainty associated with non-linear N<sub>2</sub>O emissions models compared to linear models is clearly supported in the literature for temperate soils (12, 13, 19). However, there are very few relevant studies on the applicability of non-linear responses to tropical and subtropical regions of the world (10). A recent study from Sri Lanka (20) claims that non-linear responses might not be applicable because low C availability in tropical soils limits N<sub>2</sub>O emissions at high N inputs. However, an in-depth analysis from Mexico (16) in the regions with less than 0.83% organic matter (i.e., <0.48% soil C) (21) supports non-linear response of N<sub>2</sub>O to increasing N rates. India is a major contributor to the recent growth in agricultural N<sub>2</sub>O emissions that now exceed some of the highest projected global GHG emission scenarios (6) but no available datasets confirm or refute if non-linear N<sub>2</sub>O response to N rates is applicable to Indian tropical soils.

Here, we have examined the relationship between changing fertilizer-N rates and  $N_2O$  fluxes in peninsular India for three drought resistant upland crops: groundnut, finger-millet and foxtail millet. In addition to their drought resistance, these three crops are important for semiarid tropical regions of the world because of their low water and fertilizer requirements, their stability during storage and their nutritional value (22-24). India is the largest producer of millets in the world and second only to China in the production of groundnut (25). For detailed information on production statistics, area under and nutritional importance of each of these crops in India, please see supporting text.

We hypothesized that  $N_2O$  emissions from upland crops will be a function of water and N input with non-linear increase in  $N_2O$  with increasing N application rates. We also hypothesized that, as compared to conventional practices, carefully chosen alternate farming practice treatments including (but not limited to) lower N application rates that are close to crop-N requirements can deliver the three-fold goals of climate-smart farming i.e., 1) similar or higher yields 2) higher incomes; and 3) reduction of greenhouse gases emissions (1). This paper presents  $N_2O$  emissions data along with empirical equations of regional emission factors (EFs) for predicting direct  $N_2O$  emissions, yield and farm-level economic data from groundnut, finger-, and foxtail-millet grown at farmer-managed farms over a total of nine cropping seasons in peninsular India.

#### **Materials and Methods**

The five study farms (Fig 1) were selected in the Indian states of Karnataka and Andhra Pradesh, the largest producers of the groundnut and millet crops in India and the largest consumers of fertilizers both with respect to total consumption in the state and per unit area (26). These two states are also heavily dependent upon monsoons (27) but have been facing very frequent droughts in the past decade. The measurement of GHG emissions, yield and other agroeconomic indicators was performed for a total of nine seasons at three regional laboratories established by a coalition of partners interested in promoting climate smart farming in agroecological regions 8.2 and 3.0 (28) of the semi-arid peninsula of India (Figure 1).

Supporting datasets associated with this report (Tables S1 to S13) are available on the Dryad portal at the persistent identifier: <u>https://doi.org/10.5061/dryad.cfxpnvx5r</u>

#### Soil characteristics and weather conditions

Each of the five experimental sites was a farmer owned and managed small-holder plot and was located in peninsular India between 12.77-14.66 N (Latitude), 77.20-77.75 E (Longitude) and 350-790 m (elevation above sea level) (Figure 1). The experimental sites had sandy-loam and loamy-sand texture (680-750 g kg<sup>-1</sup> Sand, 120-170 g kg<sup>-1</sup> Silt and 130-170 g kg<sup>-1</sup> Clay) and soil organic matter concentration varying between 3.2 and 14.3 g kg<sup>-1</sup> (i.e., between 1.9 and 8.3 g kg<sup>-1</sup> soil C). Except in the case of foxtail millet (which was a newly cultivated site), the groundnut and finger millet plots were under continuous groundnut or finger-millet systems, respectively, for over a decade before establishment of our experiments. The soil characteristics of each site are given in S1 Table.

The climate of all study locations was semi-arid with measured seasonal rainfall varying from 56-480 mm during the experimental period. The lowest and the highest temperatures observed at our sites varied from 10-21 and 33-40 °C, respectively (see S1 Table for details of each site). All experimental sites were between 0.1 and 0.42 ha in size and the experimental treatments were implemented by the farmer under supervision of a trained field and laboratory research team. There were three replicates for each treatment and each subplot received one treatment with stratified randomized block design.



#### Fig 1. Agro-ecological regions

Agro-ecological region 3.0 includes portions of several districts in the state of Karnataka, as well as the Anantapur district in the state of Andhra Pradesh. Agro-ecological region 8.2 is mostly in the state of Karnataka. Groundnut was grown at study site 1 during kharif (Southwest monsoon season between July and December) and rabi (irrigated season between January and May) seasons in 2012 and at study site 2 during 2013 and 2014. Foxtail-millet was grown at study site 3. Finger-millet was grown at study sites 4 and 5.

Nitrous oxide emissions were measured for both finger-millet and groundnut during four cropping seasons each, along with some fallow periods flanking these growing seasons between July 2012 and December 2015. Groundnut was sown between July 10-September 4 and harvested between November 3-December 25. Finger-millet was sown between August 3-August 25 and harvested between November 25-January 1. Due to severe drought and other complications, N<sub>2</sub>O emissions data from the foxtail-millet farm could be collected only for one season between October 12, 2014 and January 19, 2015. The data from two groundnut growing seasons (dry *kharif* 

and irrigated *rabi* in 2012) was published earlier (29) and is presented here with new estimates of mineralized organic nitrogen which impacted the calculation of EFs (see SI section on mineralization). During the fallow periods, there were no inputs of water or fertilizer to the experimental sites, except to prepare for the upcoming cropping season.

#### Treatments

We compared  $N_2O$  emissions from three or four broad categories of treatments: Veryhigh-N (VHN, conventional practices with N rates varying from 91 to 276 kg N ha<sup>-1</sup>), High-N (HN, conventional practices identified via our local farmer surveys with total N rate varying from 53 to 248 kg N ha<sup>-1</sup>; see S3 Table for farmer survey results), Low-N (LN, farm-specific potential climatesmart farming practices including completely organic practices for groundnut farms, total N varying from 17-78 kg N ha<sup>-1</sup>) and a zero N (control). We explored changes in N<sub>2</sub>O emissions with changing N fertilizer inputs under scenarios where water input was either below or above water requirements for groundnut (>280 mm) (30) and finger-millet (>450 mm)(31). The dry sites for groundnut had water input between 100-200 mm in the rainfed season (locally called *kharif*) whereas the wet site had a water input of 370 mm (irrigated winter season locally called *rabi*). The dry and wet rainfed sites for finger-millet had water inputs between 100-350 mm and ~480 mm, respectively.

The Low-N treatment (Table 1 and S3-S4 Tables) represented farm-specific "alternate" practices that were investigated for their potential to deliver similar (or higher) yields and economic benefits to farmers as well as lower climate impacts (29, 32). The potential climate-smart farming practices investigated for foxtail-millet and groundnut farms in agro-ecological region (AER) 3.0 involved completely organic (with no synthetic) inputs.

Except in the case of finger-millet, the High-N treatment represents the conventional "business-as-usual" crop management practices as currently implemented by farmers with average to large land-holdings in this region. As explained earlier (29, 32), the conventional practices were identified via regional farmer surveys conducted during the study. The recommended inorganic N use for groundnut, finger- and foxtail- millet is 20-30 (29), 50 (33), and 30 (34) kg N ha<sup>-1</sup>, respectively. Farmer surveys conducted during this study or by the Indian government indicated that farmers were using much higher fertilizer N application rates than the crop-specific recommendations by the state/district governments and/or academic institutions. Please see S3 Table for comparison of survey results with "High N" treatments.

The Very-High-N treatments for finger-millet and groundnut included addition of nitrogen fertilizers much higher than the respective crop's nitrogen needs. These treatments were

included specifically to test the extent of super-linear response in N<sub>2</sub>O emissions when N inputs are very high.

Overall, the N fertilization rates for groundnut, finger-millet and foxtail millet varied from 0 to 77,0 to 470 and 0 to 49 kg N ha<sup>-1</sup>, respectively The rate and timing of all organic and inorganic fertilizer applications are provided in S2 Table and total N rate (including contribution from mineralized organic N) for each treatment is presented in Table 1.

In general, the soils in the two agro-ecological regions are not amenable to cultivation without ploughing. For groundnut and foxtail-millet, tillage was done once in each season about 25 days before sowing. For finger-millet, tillage was done 2-4 times between March and July soon after rainfall depending on soil hardness and manure (if any) was incorporated during the last 1-2 tillage events. Bullock cart ploughing tills soil to a depth of 12 cm and local tractors (used only when the soil is very hard) plough to the depth of up to 18 cm. There was no tillage done to control weeds and there was no use of herbicides and pesticides.

During the rainfed south-west monsoon season (from July to December; locally called *kharif*), sowing was done manually at a seed rate  $146 \pm 27$  kg ha<sup>-1</sup> for groundnut (Kadiri 6 variety) at a 30 cm row spacing, 10 cm plant spacing and to a depth of 5 cm, 12 kg ha<sup>-1</sup> for foxtail millet (local variety called Jadda Korra) at a 30 cm row spacing, 8-12 cm plant spacing and to a depth of 3-6 cm and 24.7 kg ha<sup>-1</sup> for finger-millet (MR1 variety) at a 25 row spacing to a depth of 3-6 cm. Both millets are sown with a seed drill attached to a bullock and the plots are thinned/weeded 12-20 and 20-25 days after sowing of finger- and foxtail-millet, respectively. The seed rates used in a given crop and season were the same for all treatments. All of the aboveground biomass (as well as belowground biomass for groundnut) was harvested manually 110-130 days after sowing (see exact dates in S1 Table).

	(Min - Max) Inorganic N Organic N <sup>1</sup> Total N					Gra	GHGI Grain yield <sup>2</sup> N₂O flux (Flux/yield					l eld)	Tota	st	Revenue							
Treatment	(kg ha <sup>-1</sup> )	(	kg h	ia⁻¹)	(kg	; ha	<sup>-1</sup> )	(k	g ha	<sup>-1</sup> )	(kg N;	2 <b>0-</b> N	N ha⁻¹)	(tC	O <sub>2</sub> e	t <sup>-1</sup> )	(Rs	ha <sup>-1</sup>	)3	(Rs	ha <sup>-</sup>	<sup>1</sup> ) <sup>3</sup>
Finger-millet <sup>2</sup> (Dry	low rainfall site:	205 ±	120	mm) 2	012-14																	
Very High N	470	3	-	9	476	±	3	1135	±	156ª	15.47	±	2.75ª	6.38	±	1.25						
High N	206	3	-	10	213	±	3	1469	±	105ª	1.94	±	0.55 <sup>b</sup>	0.62	±	0.18	21913	±	107	35815	±	1209
Low N (SA)	50	14	-	42	78	±	14	1284	±	248ª	0.34	±	0.08 <sup>c</sup>	0.13	±	0.03	21635	±	634	32361	±	1370
Control	0	0	-	0	0	±	0	623	±	17 <sup>b</sup>	0.27	±	0.05 <sup>c</sup>	0.20	±	0.04						
Finger-millet <sup>2</sup> (Wet	t high rainfall site	e: 480 i	mm	) 2015																		
Very High N	463	5	-	14	473	±	5	2095	±	452 <sup>a</sup>	18.01	±	6.48ª	4.03	±	1.69						
High N	238	5	-	14	248	±	5	2307	±	178ª	8.08	±	0.63 <sup>ab</sup>	1.64	±	0.18	29251	±	0	59908	±	1514
Low N (SA)	41	5	-	14	50	±	5	2929	±	338 <sup>b</sup>	1.27	±	0.03 <sup>ac</sup>	0.20	±	0.02	18574	±	0	75356	±	2771
Control	0	0	-	0	0	±	0	1869	±	197ª	0.33	±	0.22 <sup>ac</sup>	0.08	±	0.06						
Foxtail-millet <sup>2</sup> (Dry	rainfed: 56 mm)	2014																				
High N	49	10	-	32	70	±	11	208	±	19ª	0.30	±	0.09ª	0.7	±	0.20	15601	±	0	7717	±	0
Low N (SA)	0	8	-	26	17	±	9	140	±	18ª	-0.10	±	0.16 <sup>b</sup>	-0.3	±	0.08	13865	±	0	8060	±	0
Control	0	0	-	0	0	±	0	26*	±	0 <sup>b</sup>	-0.2	±	0.00 <sup>b</sup>	-1.3	±	0.20						
Groundnut <sup>4</sup> (Dry ra	ainfed site: 163 ±	17 mr	n ra	in) 201	2-14																	
Very High N	77	7	-	22	91	±	8	240	±	0	2.43	±	0.43ª	4.73	±	0.83						
High N	37±9#	7	-	25	53	±	9	376	±	53ª	1.17	±	0.11 <sup>b</sup>	1.45	±	0.16	42277	±	659	30131	±	2129
Low N (SA)	0	7	-	29	18	±	11	514	±	94ª	0.83	±	0.09 <sup>c</sup>	0.75	±	0.11	38682	±	644	39781	±	4628
Control	0	0	-	0	0	±	0	254	±	0	0.49	±	0.03 <sup>d</sup>	0.90	±	0.05						
Groundnut <sup>4</sup> (Wet s	site: 370 mm wat	er use	) 20	12																		
High N	75	8	-	23	90	±	8	1021	±	0	1.89	±	0.21ª	0.87	±	0.10	51678	±	0	89419	±	0
Low N (SA)	0	10	-	30	20	±	10	1379	±	0	1.38	±	0.26ª	0.47	±	0.09	47572	±	0	111008	±	0

#### Table 1: Crop management practices, climate impacts and farm economics

All uncertainties are 1 SE. For each of the five categories, different superscript letters (a-d) next to yield and N<sub>2</sub>O flux columns denote statistical difference (p < 0.1). p values are lower than 0.01 in several cases. Statistical difference between two groups could be calculated only when individual values of parameters in the two groups were available. <sup>1</sup> Nitrogen from organic sources. The column presents an estimate of mineralised organic N available during the season (See SI Tables 4.1-5.5). <sup>2</sup>Row area correction done for both millets such that intercrop and border crop yields are not included here. <sup>3</sup> Please see SI Tables 7.1-9.3 for details. These entries represent our preliminary assessments and need to be verified by future studies that include multiple farmers. Foxtail-millet and groundnut cropping seasons had poorer rainfall than is necessary for optimum production (~300 mm) and sometimes net income (Revenue - Total cost) was negative. <sup>4</sup> With the exception of new calculations of mineralized and total N, data from the year 2012 is from Kritee et al (2015). #Variations existed from year to year because farmers change the amounts of fertilizer added in response to rainfall \*See SI Figure 2. Resowing was not done in the control sub-plots. See main text.

## N<sub>2</sub>O flux monitoring

Manual closed chambers were used to collect air samples from each of the three replicate treatment plots and the air samples were analyzed by electron capture detector (ECD) in a gas chromatograph (Thermo Fisher Trace GC 600) to quantify  $N_2O$  emissions rates based on methodology developed in our labs (29, 35). Because most  $N_2O$  emissions occur within 1-4 days following N addition and/or irrigation/rainfall (36),  $N_2O$  flux measurements are more reliable when the sampling frequency is high and the sampling schedule captures spatio-temporal variability in emissions (37). We performed sampling on 34-60% of the total days in each season (S1 Table), with continuous sampling for 3-5 days after all "events" e.g. sowing, fertilizer application, irrigation/rainfall and weeding with an average of three measurements every week. Stackable manual chambers (size:  $30^*30^*40$  cm) were deployed on base-frames. A second  $30cm^*30cm^*40cm$  chamber was stacked on top of the first chamber if (and only when) the plant height exceeded 40 cm (Fig 2). On each sampling day, four air samples (60 ml each) were collected at 10 minute intervals for 30 minutes between 10 AM and 12 noon to calculate the hourly  $N_2O$  (Bhuruka Gases, Bengaluru; NIST certified at 2% RSD).

For chamber deployment period of half hour, four sampling points under linear regression, minimum detectable  $N_2O$  flux was 33.8 ppb (38, 39) which translates into ~20µg m<sup>-2</sup> h<sup>-1</sup> for our chambers with a volume of ~36L, ambient temperatures in the range of 35-45 °C and baseframe footprint of 0.09m<sup>2</sup>. Following the recommendation of Parkin and Venterea (2010), we used the actual measured value even if it falls below the minimum detection limit (MDL). The details of the design of chambers and base-frames, methods employed to achieve uniform mixing of headspace air, chamber volume and temperature corrections, sample storage, data analysis, treatment of negative emissions, calculation of seasonal fluxes and curve fitting have been described previously (35). Cumulative emissions were calculated separately for each replicate plot before calculating the average emissions for each treatment. For a given farm, when available, the results from different years were averaged for treatments with similar N input rates (Table 1 and S2 Table) to perform multiple regression. The cumulative  $N_2O$  flux during a cropping season was calculated by linear interpolation as explained earlier (35).





Two stacked manual chambers with detachable lid were used for finger-millet and foxtail-millet after the crop height became close to 40 cm.

## Crop yield, yield scaled GHG flux and N mineralization rates

Yields were measured from each treatment at maturity at the end of a season after separating groundnut pods or millet grains from the plant/straw and sun drying to a constant weight. It is customary in this region to use crop residue to feed cattle and not return it to the plots. Yield scaled GHG flux (i.e., GHGI) for each treatment was calculated by converting average  $N_2O$  flux into  $CO_2e$  after multiplying by 298 (Global warming potential of  $N_2O$ ) (40) and dividing by average yield for that treatment. The errors (SE) associated with GHGI were calculated by standard error propagation method. Mineralization rates for the applied organic nitrogen were estimated using methods described earlier (32). Please refer to the supporting file for more details.

## Statistical and multiple regression analysis

Unless mentioned otherwise, all errors represent one standard error (SE) and were propagated during addition, subtraction or multiplication. In order to estimate the significance of differences between means of yield and N<sub>2</sub>O emissions, two-tailed standard T-tests (p < 0.1) were performed (Table 1). Standard errors and statistical differences between means of variables (e.g., Yields, N<sub>2</sub>O flux, GHGI) could be determined only when values of individual replicates were available.

The purpose of the multiple regression modeling was to confirm if N<sub>2</sub>O emissions increase non-linearly with N fertilization rate. Our study was not designed to study influence of additional parameters (e.g., rainfall, SOM, seed rate etc) but we did explore the correlations among the N<sub>2</sub>O emissions and parameters available for each crop using the same multiple regression modeling approach and show the performance of the alternative models with minimum AIC values in the supporting text. Each millet or groundnut farm with a different treatment was considered an independent observation in the multiple regression analysis. To select our multivariate regression model(s) for N<sub>2</sub>O, we consecutively added/removed parameters like rainfall, amounts of organic and inorganic N, soil pH and organic content looking to minimize the Akaike Information Criterion (AIC) and checking for model significance after adding or removing parameters. S9-S10 Tables present the detailed dataset used for the regression analysis. Significance of some parameters (e.g., total nitrogen use, soil texture or SOM) were checked as continuous predictors, others (e.g., high rain vs low rain or millet-type) was checked both as categorical predictors. We did not expect that the year of the sampling will play a significant role in our results and the addition of year as a categorical parameter was not statistically significant (p < 0.05) for millets or groundnut.

For comparison with IPCC global average and Indian EFs for upland crops, emission factors for groundnut and millets were calculated by doing linear regressions on  $N_2O-N$  and  $N_{total}$  values (as shown in S10-11 Tables). These linear regressions always explained much lesser variance (i.e., had lower  $R^2$ ) than quadratic equations obtained via multiple regression analysis.

## Results

## **Crop yields**

Despite 63-80% lower total N application rates (Table 1), the Low-N sustainable farming practices led to statistically similar dry grain yields when compared with High-N conventional

treatments. If one compares average yields from the two treatments, Low-N treatment dry grain yields were 25-35% higher than those from High-N treatments in all cases, except finger-millet dry sites and foxtail-millet cropping season (Table 1). Yields at our foxtail-millet farm in 2014 for all treatments were exceptionally low because of very low rainfall (see S1 Fig).

## Nitrous oxide emissions

Because of lower N surplus (N<sub>fertilizer-inputs</sub> minus N<sub>crop-output</sub>), N<sub>2</sub>O emissions per unit sown area and yield were significantly lower for Low-N treatments as compared with High-N or Very-High-N treatments (Table 1). Typical temporal changes in N<sub>2</sub>O fluxes show spatial variation within replicate plots (Fig 3) and clear impacts of different treatment (i.e. different N rates) on total N<sub>2</sub>O emissions (Fig 4 and Table 1). As expected, daily N<sub>2</sub>O fluxes vary with the timing of the addition of chemical fertilizers and rainfall. Seasonal N<sub>2</sub>O fluxes and the intensity of N<sub>2</sub>O emissions per unit yield responded to changes in N fertilization rates (Table 1) for both groundnut (Fig 5; Equation 1) and millets (Fig 6; Equation 2). Average seasonal cumulative emissions for different treatments are presented in Table 1. For all crops, Low-N treatments (potential climate smart practice package) had lower N<sub>2</sub>O emissions than High-N treatments (conventional practice packages for groundnut and foxtail-millet, see supporting text) in all seasons.





This figure shows N2O fluxes recorded at three very high N replicate treatments with the same nitrogen fertilizer input at a groundnut farm in kharif 2014. Red lines represent timing of the addition of N fertilizers. Blue lines represent rainfall recorded in the weather station next to the farm.



#### Fig 4. N<sub>2</sub>O variation across treatments.

This figure shows N2O fluxes under different N fertilization rates at a finger-millet farm in 2015 at a high precipitation site. Red lines represent timing of the addition of N fertilizers. Blue lines represent rainfall recorded in the weather station next to the farm.





This figure shows both the non-linear and forced linear response of N2O to changing Ntotal rate for groundnut (a Nfixer). The plotted data corresponds to data from individual years. The two data points corresponding to irrigated season are not included in the two regressions equations which are based on independent observations from individual rainfed (kharif) cropping seasons.



#### Fig 6. N<sub>2</sub>O response for millets.

This figure shows both the non-linear and forced linear response of N2O to changing Ntotal rate for foxtail- and fingermillet which are non N-fixing upland crops. The plotted data corresponds to data from individual years. The regression equations are based on independent observations from individual finger- and foxtail-millet cropping seasons.

A maximum hourly N<sub>2</sub>O emissions of ~1350, ~7500 and ~250  $\mu$ g N<sub>2</sub>O-N m<sup>-2</sup> h<sup>-1</sup> was recorded for a Very-High-N replicate treatment for groundnut (Fig 3), a Very-High-N replicate treatment for finger-millet (Fig 4) and High-N replicate treatment for foxtail-millet (S2 Fig), respectively. Average N<sub>2</sub>O fluxes (with SE) for different treatments and seasons are presented in S3-S7 Figs. As expected and shown previously (Kritee et al, 2015), the N<sub>2</sub>O data were not log normally distributed (S8-S12 Figs.) Crucially, the average N<sub>2</sub>O emissions per unit yield for Low-N treatments was 50-150% less than High-N treatments (Table 1).

There were minimal  $N_2O$  emissions after addition of organic amendment (i.e., FYM) before sowing in all cropping seasons. The first set of synthetic fertilizers were applied on the day of sowing (0 DAS) and even meager rainfall of ~3.5 mm on 3 DAS (Fig 3) led  $N_2O$  emissions to increase to ~100 µg  $N_2O$ -N m<sup>-2</sup> h<sup>-1</sup>. In general, the  $N_2O$  emissions were routinely found to be higher within 1-3 days of substantial rain events relative to dry periods and were the highest when N addition coincided with rain events. As expected, the finger-millet (2015) and groundnut (Irrigated, 2012) seasons gave comparatively higher emissions than 2012-2014 finger-millet or groundnut (rainfed, 2012-2014) because of greater water availability (Table 1), especially for Low N rates.

#### Fallow periods and negative emissions

Below MDL  $N_2O$  and negative fluxes were measured between 4 to 47% and 5 to 38% of the total number of days in a cropping season, respectively (S1 Table). Negative flux rate as low as ~

-112  $\mu$ g N<sub>2</sub>O-N m<sup>-2</sup> h<sup>-1</sup> was observed (S6 Table) which was significantly larger than MDL of our study (<20  $\mu$ g N<sub>2</sub>O-N m<sup>-2</sup> h<sup>-1</sup>). As reported earlier (29), small but significant negative N<sub>2</sub>O emissions rates were apparent both under wet (0-2 days after rainfall events) as well under dry ( 5-12 days after rainfall) conditions (S6 Table). The likely reasons for negative N<sub>2</sub>O emissions include the conversion of N<sub>2</sub>O to N<sub>2</sub> (complete denitrification) (29).

Emissions during the fallow periods generally remained negative or low (-0.005 to 0.025 kg-N<sub>2</sub>O ha<sup>-1</sup> day<sup>-1</sup>). However, there was a striking positive impact of rain events in the fallow periods for HN or VHN plots with a maximum of ~2600 and ~725 $\mu$ g N<sub>2</sub>O-N m<sup>-2</sup> h<sup>-1</sup> from finger-millet subplots during the post-2012 and post-2013 *kharif* fallow, respectively; and ~340  $\mu$ g N<sub>2</sub>O-N m<sup>-2</sup> h<sup>-1</sup> for foxtail-millet (post 2014 harvest) (See S2 Fig and S12 Table). Because the fallow period flux rates are generally very low and we do not have data for the entire length of the fallow periods and/or for all the fallow periods, we have not included this data in the calculation of emission factors. There was no evidence of the effect of ploughing, inter-cultivation and weeding on N<sub>2</sub>O flux rates.

## **Economics**

Cost of cultivation (including input cost of seeds, fertilizers, pest management and machinery as well as labor cost of sowing, weeding and harvesting) and revenue were computed at local market rates (see S7-S9 Tables) using a 1 = 365. With the exception of finger-millet in the dry low rainfall region where the differences seem negligible, high nitrogen treatments had 10-55% higher cost of cultivation than low N treatments. Low N treatments also resulted in 5-25% higher revenue than high N treatments. More studies with multiple participating farmers are needed to confirm these results.

## Discussion

While there have been no published studies documenting climate impacts of finger-millet and foxtail-millet cultivation, a few studies have looked at climate impacts of two other relatively common millets, Pearl-millet and Sorghum (41-43). In contrast, fertilizer-induced N<sub>2</sub>O emissions have been documented to occur during cultivation of groundnut in India (29), Malaysia (44) and China (45). Neither the existing studies on millets and groundnut nor any of the recent Indian studies on other upland crops (e.g., wheat or maize) (46-48) have examined N<sub>2</sub>O emissions at more than two N rates precluding the possibility of examining non-linear response of  $N_2O$  to increasing N use.

#### Multivariate linear regression models

We expected the relationship of  $N_2O$  with total N use to change based on water input and therefore considered the data from wet and dry sites separately. Water requirement for groundnut and finger-millet is >280 mm (30) and >450 mm (31), respectively. Except for the irrigated groundnut season, strong quadratic relationships between seasonal  $N_2O$ -N and  $N_{total}$  are observed for groundnut and millets (Figs 5-6 and S10-S11 Tables). Most strikingly, these observed nonlinear relationships are similar to relationships seen in meta-analysis by Shcherbak et al (2014) for N-fixing crops (Fig 7) such that for a given N application rate,  $N_2O$  emissions from groundnut were much higher than from the millets.





This figure shows non-linear response of N2O to changing Ntotal rate seen in our study for rainfed groundnut and millet cropping seasons. For comparison, the linear responses (corresponding to emission factors of 1% and 0.58% adopted by the IPCC and the Indian Government; N2O-N = EF\*100\*N rate) and the non-linear response seen for seven N-fixing and over two hundred and twenty non N-fixing upland crops (13) are also presented. In our experimental data, average groundnut N input ranges from 0 to 91 kg N ha-1 but this figure extrapolates that data up to 250 kg N ha-1 for better comparison with regression equation for N-fixers from Shcherbak et al. Note that the intercepts have been made equal for easier comparison.

For Groundnut rainfed sites, the following equation best describes the N<sub>2</sub>O response to changing N rates:

$$N_2$$
O-N (kg ha<sup>-1</sup>) = 2.18e<sup>-04</sup>( $N_{total}$ )<sup>2</sup> – 1.61e<sup>-03</sup> $N_{total}$  + 0.668  
(p < 0.02; Multiple R<sup>2</sup> = 0.86; Adjusted R<sup>2</sup> = 0.79) (Equation 1)

For the two millets (i.e., non N-fixing upland crops), the following equations best describe N<sub>2</sub>O emissions:

$$\begin{split} N_2 O-N & (kg \ ha^{-1}) = [6.34e^{-05} \ (N_{total})^2 + 3.26e^{-03} \ (N_{total}) - 0.59 \ (rainfall < Crop requirement) \\ N_2 O-N & (kg \ ha^{-1}) = [6.34e^{-05} \ (N_{total})^2 + 3.26e^{-03} \ (N_{total}) + 1.48 \ (rainfall > Crop requirement) \\ & (p = 8.9e^{-08}; Multiple \ R^2 = 0.97; Adjusted \ R^2 = 0.96) \end{split}$$

Here  $N_2O-N$  represents emissions in kg-N ha<sup>-1</sup> season-1 and  $N_{total}$  is the average total (inorganic + mineralized organic) N added in a season in kg ha<sup>-1</sup> (Table 1; S10-11 Tables). As explained earlier (32), the range of mineralization rates of organic N over three years was obtained from literature (See S4-S5 Tables). Please see supplementary text for more details about alternative multiple regression models. During multiple regression analysis of the datasets from two millets, the categorical term for millet type (foxtail vs. finger millet) was not statistically significant, supporting treating them as part of the same group.

#### Comparison of wet and dry sites

India has a system of rainfed agriculture: about 72% of 143 million hectares of net cropped area, is under rainfed production (27). Thus, it is crucial to understand how emission factors might differ between irrigated vs. rainfed sites or dry vs. wet rainfed sites.

For groundnut, we do not have a statistically significant number of datasets for irrigated groundnut to be able to systematically compare them with rainfed groundnut. However, it does appear that N<sub>2</sub>O emissions from irrigated groundnut plots follow a different trajectory than rainfed groundnut plots (Fig 5). For finger-millet (Fig 6), on an average, wet rainfed site gave both higher yields and higher emissions at similar N application rates as compared to the dry rainfed site. While there isn't enough data in our study to elucidate the reasons for differences among sites, it is clear that higher rainfall increases N<sub>2</sub>O emissions.

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### N-fixing vs non N-fixing crops

Our non-linear model (Equation 1) for groundnut-N<sub>2</sub>O seems very similar to Shcherbak et al's N-fixing crop model ( $y = 1.8e^{-4}x^2 + 3e^{-3}x + constant$ , Fig 7). For non N-fixing crops, our model (Equation 2) appears to be significantly different from Shcherbak et al's model for non N-fixing upland crops at high N rates ( $y = 1.9e^{-5}x^2 + 6.5e^{-3}x + constant$ , Fig 7). Consistent with the N surplus approach, our model for millets clearly shows that as compared to non N-fixing upland crops, N-fixing crops have higher acceleration in EF with increasing N additions because of lower N fertilizer needs of N fixers (13).

## **Mitigation potential**

Direct comparison of average  $N_2O$  emissions from Low-N (sustainable) and High-N (conventional) treatments suggests that the climate impact of Low-N treatments were ~0.2-0.4 tCO<sub>2</sub>e ha<sup>-1</sup> lower for groundnut and foxtail-millet (Table 1).

For finger-millet, direct comparison of our Low-N and High N treatments for estimation of mitigation potential of sustainable treatments is not appropriate. We recommend the use of Indian government's survey based N rate of 150 kg N ha<sup>-1</sup> as the conventional N application rate for finger-millet when calculating climate mitigation benefits of sustainable practices instead of using the total nitrogen rate implied in our High-N treatments (213-248 kg N ha<sup>-1</sup>; see supporting text). Use of our empirical model for finger-millet (Equation 2), 150 kg N ha<sup>-1</sup> as the conventional N rate used by business-as-usual farmers, and 40-50 kg N ha<sup>-1</sup> as the N rate used at low N use farms implies a mitigation of ~0.75 tCO<sub>2</sub>e<sub>100</sub> ha<sup>-1</sup>.

## **Emission factors**

India's second national communication to The United Nations Framework Convention on Climate Change (UNFCCC) estimated emissions of  $N_2O$  from agricultural soils to be 155 Gg of  $N_2O$ (46.2 MtCO<sub>2</sub>e) in 2007 (49) with inorganic fertilizers contributing about two thirds of these emissions. As a result of increasing fertilizer use, a linear increase in  $N_2O$  emissions between 1980 and 2007 has been estimated (50) because India uses linear EF of 0.58% (43). This Indian emission factor implies that there is a linear relationship between N application rate and  $N_2O$ emissions. In contrast, our data show a faster than linear  $N_2O$  emissions increase with increasing N inputs. To our knowledge, our work is the first body of work that measured  $N_2O$  emissions from up to four different N application rates in India. We suggest that Indian budgets might be significantly altered by replacing the constant Indian 0.58% EF with an N-rate-dependent EF. In particular, this change would likely lower emissions estimates from regions predominantly fertilized at low N inputs while increasing emissions estimates from highly fertilized areas. Please note that when linear regression equations (that are poorer fits than quadratic equations) are forced on our datasets (see Figs 5-6), the resulting EFs are 1.8% and 3.5% for groundnut and millets, respectively. These forced linear EFs are significantly higher than both the average global IPCC EF (1%) (51) and the national EF proposed by the Indian Government (0.58%) (29). Thus, both IPCC and Indian government's EFs could be significantly underestimating the amount of the mitigation potential of alternate low nitrogen (LN) upland crop growing practices.

## Implications

## Differential impacts of low vs high N input upland crops

Our study clearly shows that low N treatments lead to lower N<sub>2</sub>O emissions while increasing or maintaining yields and profits. We have established unequivocally that tropical soils in India also show a non-linear increase in N<sub>2</sub>O with increasing N use when added N is beyond the total N requirement of the crop (13, 14, 19). Our data supports the interpretation that  $N_2O$ emissions are accelerated in soils fertilized in excess of crop requirements even when the number of fertilizer doses is more than one. Our data also implies that N2O emissions are accelerated in tropical soils fertilized in excess of crop requirements even when soil carbon content is less than 1%. This implication is supported by a previous study from Mexico (16) while casting a doubt on conclusions from a recent study from Sri Lanka (20). In line with previous spatially explicit analysis (52), our study suggests that the largest mitigation gains (with respect to both  $N_2O$ emissions per unit area and N<sub>2</sub>O emissions per unit yield) are to be made where fertilizer N is applied in great excess (e.g., in many irrigated areas of Indo-gangetic plain in India), and relatively small increase in N<sub>2</sub>O emissions will occur due to modest increase in N addition in many rainfed cropping systems which currently involve low N application rates. In the interest of global food and economic security, we recommend continued research on both  $N_2O$  and yield scaled  $N_2O$ response curves from other tropical and developing parts of the world.

#### Market mechanisms for promotion of sustainable practices

Identifying farming practices that provide economic and environmental sustainability while also addressing climate change mitigation and resilience, are critical for ensuring that effective GHG mitigation options are widely implemented in the future (53). There are, however, significant institutional and cultural barriers to the widespread adoption of alternative practices. While farmers might know about the drawbacks of excessive fertilizer use, they do not have ways to determine optimal N needed for best possible yields, resulting in low nutrient use efficiency and lower crop yields (54). Reliable and timely farmer advisory coupled with incentives are needed to shift farmers to a regime that supports nutrient optimization. In the absence of reliable farm advisory, and due to increasing market penetration of synthetic fertilizers, the opposite is true and there is a constant pressure on the farmers to use these fertilizers as a way to manage their perceived risk (see India-specific discussion in the supporting text). Thus, policies and market incentives need to be aligned to build farmer and institutional capacity to help promote alternative farming practices. This study suggests significant GHG mitigation potential exists for groundnut and millet cultivation systems in AEZ 8.2 (S13 Table) and AEZ 3.0 (Kritee et al, 2015) while also improving yields and farm incomes. Over the long term, these landscape level reductions in emissions could potentially be monetized, through international or domestic carbon markets (via N<sub>2</sub>O emission reduction conversion to CO<sub>2</sub>e.). It's worth considering if such market funds could be appropriate incentives and could be used to augment or catalyze, in some measure, the much larger public and private investments necessary to bring additional economic benefits from climate smart farming (CSF) or Low carbon farming (LCF) practices to farmers in these two regions. Our study supports that market instruments that specifically account for non-linear changes in  $N_2O$  might be more helpful in controlling  $N_2O$  emissions (55).

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# **Supporting information**

## Supporting text

## Introduction

**Finger-millet:** Globally, finger-millet is grown in >3.6 million ha (FAOSTAT, 2014; ICRISAT, 2015). Because of its resistance to pests, high calcium, iron, polyphenols and dietary fibre content (Devi et al., 2014), finger-millet is one of the most important millet in the tropics (ICRISAT, 2015). With >40% of the global area under finger-millet (GOI, 2021), India produces ~2 million tons every year (GOK, 2015c; Thilakarathna and Raizada, 2015).

**Foxtail-millet:** Foxtail-millet, another highly nutritious and hardy millet, is one of the oldest cultivated grain crops and is a dietary staple in the arid and semiarid regions of Asia, North Africa, South and North America. With ~1 million ha, one fourth of total global area under foxtail-millet, India is the fourth largest producer in the world of this short-duration millet (Upadhyaya et al., 2009). Foxtail-millet ranks second in total world production of millets with ~6 million metric tons a year (Lata et al., 2013) and has recently been studied extensively as a key target for genetic transformation due to its genetic and physiological likeness to biofuel crops (Lata et al., 2013).

**Groundnut:** Groundnut, a nitrogen-fixer, is globally the fourth most important oilseed crop with 44 Mt grown over 26 Mha (FAOSTAT, 2014). With 5-10 Mt year<sup>-1</sup>, groundnut accounts for 25-55% of total oilseeds production in India (FAOSTAT, 2014; GOI, 2021; Veeramani and Subrahmaniyan, 2011).

In India, marginal and small-holder (<2 ha) farms are extremely important because they account for 75-85% of all the farmers and a 35-55% of all the cropland area in the area (GOI, 2017; GOK, 2015a).

## Importance of regional studies to determine emission factors

The Intergovernmental Panel on Climate Change (IPCC) provides a default emission factor (EF) of 1% of N applied to agricultural fields in any chemical form as an estimate of  $N_2O$  being released to the atmosphere (de Klein et al., 2006). This default was developed based on

data from many countries and does not take into account variability in soil and crop types, climate conditions, and management regimes (Lesschen, 2011) and IPCC recommends developing regional EFs. For these regional EFs to be statistically robust, emission measurements must be conducted over multiple seasons for a particular crop in a given agro-ecological region (de Klein et al., 2006). Despite the relative ease of applying linear emissions models to estimate N<sub>2</sub>O emissions from crops, recent observations suggest that N<sub>2</sub>O emissions accelerate with increased N application.

Although N<sub>2</sub>O emissions from rice-wheat systems in northern India have been extensively measured, a very limited number of studies report emissions from non-wheat upland crops grown in north India (Majumdar, 2000) and there are no published studies on upland crops from peninsular India except our recent study on groundnut (Kritee et al., 2015). This absence of country-wide (and agro-ecological region and crop-management specific) agricultural emission data and a concomitant lack of understanding of mitigation and drought-resilience potential of alternate farming practices indicates the importance of developing crop and region specific EFs.

#### **Methods**

#### Location of farms

Our finger-millet farms were located in agro-ecological region (AER) 8.2 in Karnataka, the leading finger-millet growing state that produces ~65% of finger-millet in India (GOK, 2015c; ICRISAT, 2015). About 50% of the total area under groundnut in Andhra Pradesh (or about 1/6<sup>th</sup> of the groundnut hectares in India) is within the Anantapur district in AER 3.0, the site of our groundnut and foxtail-millet farms. Over 65% and 75% of the total cultivated area is under finger-millet and groundnut in Ramanagara (GOI, 2011; GOK, 2015b) and Anantapur (Kritee et al., 2015) districts, respectively. Andhra Pradesh is also the leading foxtail-millet producing state in India (MoA, 2014). Both governmental (MoA, 2014) and non-governmental agencies are actively reintroducing foxtail-millet in the study region AER 3.0 due to its drought tolerance and highly nutritional qualities.

Agro-ecological region 8.2 (Gajbhiye and Mandal, 2000; GOI, 2011) is characterized by deep red clayey soils, hot-moist semi-arid climate and is dominated by a growing season length of 120-150 days, and soils that are well drained and of low to medium water-holding capacity. Average annual rainfall is about ~820 mm (GOI, 2011) of which 25-55% falls from July–December (Table 2). Over 99.5% of all finger millet grown in Ramanagara is grown during *kharif* (rainfed) season (GOI, 2011).

The study farms for foxtail-millet and groundnut were located in Anantapur district and are classified under agro-ecological region 3.0. Our previous study (Kritee et al., 2015) on groundnut presents other characteristics of the region in detail. Please see SI Table 1 for details related to location, elevation, soil qualities weather and seed varieties.

#### Low N treatments

The potential climate-smart farming practices investigated for foxtail-millet and groundnut farms in AER 3.0 involved completely organic (with no synthetic) inputs that were decided by agronomists from local non-governmental (NGO) partners who have been actively engaged in local experiments with a variety of organic fertilizers. Preparation and nutritional qualities of different fermented organic manures (i.e., ghanajeevamrutha and jeevamrutha have been explained earlier(Kritee et al., 2015; Kumar et al., 2011). For finger-millet, the alternative practices were based on TNAU Crop Production guide for rainfed finger-millet (TNAU, 2017) but the use of organic matter (FYM) was limited in spite of much higher recommendations. This was because our surveys showed that the farmers had access to limited livestock and could not afford the high cost of FYM in AER 8.2. Involvement of local farmers ensured that only those practices were propagated which were easy to implement given the logistical, socio-economic and cultural constraints in the region (e.g., discontinuation of use of Single Super Phosphate for finger-millet in 2015 because it became locally non-available) (Table 1).

#### High N treatment for finger-millet (ragi)

The total N application rates in the High-N treatments (213-248 kg N ha<sup>-1</sup>) were based on inadvertent errors in the processing of these farmer surveys. Crucially, these rates are significantly higher than the total N application rates (133-185 kg N ha<sup>-1</sup>) based on the average finger-millet-specific district level survey data published by the Indian Department of Agriculture & Cooperation for the years 2001-2012 (see below). Hence, we recommend use of 150 kg N ha<sup>-1</sup> as the conventional N application rate for finger-millet when calculating climate mitigation benefits of Low-N alternate treatment over conventional treatment.

The rate of 150 kg N ha<sup>-1</sup>- for finger-millet in the study district (Ramanagara, previously a part of Bangalore Rural district) was determined based on district level survey data published by the Indian Department of Agriculture & Cooperation. The information can be accessed through the online "Input Survey Database", which is a part of the Agricultural Census. The database

provides district level information specific for a particular crop and fertilizer type, with a breakup for different land classes, for the years 1996-97, 2001-02, 2006-07 and 2011-12.

Based on our preliminary farmer surveys, we had determined that farmers in the study area most commonly apply farmyard manure (FYM), Di-ammonium phosphate (DAP) and Urea for finger-millet cultivation. Therefore, for the determination of conventional N application rate for finger-millet, we collected information for the application rates of FYM, DAP and Urea for Ragi cultivation on marginal landholding for the years 2001-02, 2006-07 and 2011-12 using the online Input Survey Database (GOI, 2017).

The database provided information for FYM and Urea application rates for finger-millet cultivation for all the three selected years. However, information on DAP application rates were not available for 2006-07 and 2011-12 and were assumed to remain constant. Analysis of data for application rates on marginal landholding is presented in the table below.

Year	FYM (kg/ha)	DAP (kg/ha)	Urea (kg/ha)	Total N (kg/ha)
2001-02	6911	75	267	185
2006-07	7452	75	144	133
2011-12	7227	75	170	143
Average	7197	75	194	153

#### High N treatments for groundnut and foxtail-millet

When we began groundnut study, district level fertilizer use/sale data was available via Government of India (e.g., Department of Fertilizers: http://fert.nic.in/sales-availability-districtwise-report). However, this fertilizer use/sale data was aggregated without indication of distribution of total N use among different crops and farm-sizes. Indian government also provided district level crop production data (Government of India, Crop production statistics information system: http://apy.dacnet.nic.in/) but the total district level fertilizer use could not be divided among different crops because differences in socio-economic backgrounds among marginal-small-holder farmers and medium/large-holder farmers, soil types and seed varieties drives different fertilizer/manure use at different farms. Fertilizer/manure use recommended by various local academic and government institutes varied and, hence, we had no other means to establishing baseline farming practices except by conducting farmer questionnaire surveys. In SI Table 3, we present summary of results from our surveys for dry *kharif* season in Anantapur district in 2013-2014. These surveys were conducted before the start of the two dry *kharif* seasons. The entire dataset collected by the participating NGO can be made available upon request.

#### Inter-cropping

While there are no cover or inter- crops used during groundnut cultivation in the region (Kritee et al., 2015), use of row/border inter-crops along with millets is a common practice. All finger-millet (ragi) plots had the following row/border crops: cowpea (Vigna unguiculata), lab-lab (*Dolichos lablab*), pigeon pea (*Cajanas cajan*), niger (*Guizotia abyssinica*), sorghum (*Sorghum vulgare*), mustard (*Brassica nigra*) and castor (*Ricinus communis*) in variable amounts. Very high and high N foxtail-millet (*Korra*) plots had redgram as intercrop and low N plots had other intercrops as well (*Korra* : Red Gram : *Bajra* : *Jowar* with ratios 20: 1:1:0.5). All yields reported in Table 1 have been area-corrected for inter-crops. The soils in the area are not amenable to cultivation without ploughing and, therefore, tillage procedures all treatments were similar. Cover crops are not utilized in this region and were not a part of the study.

## Tillage/ploughing

While farmers engaged in sustainable farming do not use tractors as often as mainstream farmers, tillage procedures for all treatments for a given crop were similar in our study.

In general, the soils in the two agro-ecological regions are not amenable to cultivation without ploughing. For finger-millet (*ragi*), before sowing, farmer ploughs the farm 2-4 times after rain events between March to July. The last ploughing event occurs 20-30 days before sowing and involves manure incorporation. When the soil is hard during summer and/or weeding is necessary, farmers hire tractors for the first 2-3 tillage events. Subsequent events involve country plough. Tractor ploughing involve ploughing at a depth of 12-18 inch whereas country plough penetrates the soil about 12 inch deep. The latter tilling events will involve levelling using involving mild ploughing/levelling tools such as *kunte, heggunte* and *halbe*.

For groundnut, tillage (two rounds) was done once for each season (24-28 days before sowing). In *kharif*, it was done using a metallic plough attached to a bullock cart (locally called *Madaka*) but in *rabi*, it was done using a local tractor. Local term for ploughing is '*Dhunedhi*'. Bullock cart based ploughing tills soil to the depth of 12 cm and tractor based ploughing upto the depth 18 cm.

#### **Mineralization rates**

Mineralization rates for organic nitrogen were estimated based on approach described in our previous study(Kritee et al., 2018). Briefly, all of the N content in any organic input is not labile. In addition, the labile N in organic inputs added at a given point of time mineralizes slowly over a period of  $\sim$ 3 years (Shimizu et al., 2009). Thus, for every season, cumulative available N (or mineralized N) contributed by organic matter was influenced by OM added over three years (the season of interest plus the two preceding years). The % organic N mineralized during a fixed time interval depends on seasonal temperature, soil properties, microbial activity, etc (Mohanty et al., 2011; Pratt and Castellanos, 1981). In the absence of any regional measurements of mineralization rates of organic N, we used three different sets of mineralization percentages (% total organic N mineralized in the first (that is, year of) and second, and third years (after) the addition of organic matter) to calculate the maximum and minimum N content utilized in our regression analysis (Table 1, Main text). One set of N mineralization rates (13%, 7.0% and 5.5%, respectively, in the first, second, and third year after application) was based on the Uchida model developed for Japan (Shimizu et al., 2009; Shimizu et al., 2010). Another set of mineralization percentages (45%, 20% and 10%) were based on studies made by several agricultural extension centers in the Unites States (PennState, 2016; Pratt and Castellanos, 1981). The third set of mineralization percentages (10%, 40% and 15%) were based on local expert advice which suggested that if farmers add organic inputs every third year in peninsular India, they get maximum yields in the second year after application of organic inputs. Additionally, it was suggested that, in peninsular India, yields are significantly lower during the year of organic application and during the third year after the organic application. We are not in a position to evaluate which of these mineralization rates is best applicable to our farms and hence present the minimum and maximum possible mineralized N available due to addition of organic inputs at all farms in Table 1.

#### Multiple regression models

We note that our objective with the multiple regression modeling was to confirm if  $N_2O$  emissions increase non-linearly with N fertilization rate. We did explore the correlations among the  $N_2O$  emissions and additional parameters (rainfall, SOM, seed rate etc) using this same multiple regression modeling approach. We show the performance of these alternative models below. Here, we make the distinction between confirmatory hypothesis testing ( $N_2O$  as a function of N rate) and exploratory analysis (e.g., seeding rate and  $N_2O$  emissions). Given our study was not designed to disentangle each component of the alternative management practices, we don't find it responsible to present other models with the same confidence as we do with the N rate models.

# Equations for finger- and foxtail- millet:

Equation	Multiple R <sup>2</sup>	Adjusted R <sup>2</sup>	p value	AIC
Quadratic, rainfall as categorical variable:				
Low rainfall equation:				
$N_2O - N (kg ha^{-1}) = [6.34e^{-05} (N_{total})^2 + 3.26e^{-03} (N_{total}) - 0.59$	0.97	0.96	8.9e <sup>-08</sup>	54
High rainfall equation				
$N_2$ O-N (kg ha <sup>-1</sup> ) = [6.34e <sup>-05</sup> (N <sub>total</sub> ) <sup>2</sup> + 3.26e <sup>-03</sup> (N <sub>total</sub> ) + 1.48				
*all parameters statistically significant (p< 0.05)				
Quadratic, with SOM as continuous variable:				
$N_2$ O-N (kg ha <sup>-1</sup> ) = [6.34e <sup>-05</sup> (N <sub>total</sub> ) <sup>2</sup> + 1.36e <sup>-03</sup> (N <sub>total</sub> ) +	0.06	0.05	1 40-07	-6
2.39(SOM) - 1.93	0.90	0.95	1.46	50
SOM is not statistically significant				
Quadratic, with rainfall as continuous variable:				
$N_2O-N (kg ha^{-1}) = 5.97e^{-05} (N_{total})^2 + 4.86e^{-03} (N_{total}) +$				
4.24e <sup>-03</sup> (Rainfall)-1.19	0.96	0.95	3.5e <sup>-08</sup>	58
Rainfall is not statistically significant				
Quadratic, without rainfall:				
$N_2O-N (kg ha^{-1}) = [6.35e^{-05} (N_{total})^2 + 3.76e^{-03} (N_{total})$	0.95	0.94	8.5e <sup>-08</sup>	59
- 8.8e <sup>-02</sup>				
Linear, with rainfall as categorical:				
Low rainfall equation				
$N_2$ O-N (kg ha <sup>-1</sup> ) = 0.035 (N <sub>total</sub> ) – 2.10	0.93	0.91	6.4e <sup>-07</sup>	64
High rainfall equation				
$N_2$ O-N (kg ha <sup>-1</sup> ) = 0.035 (N <sub>total</sub> ) – 0.019				
Linear:	0.01	0.00	1 = 0-07	66
$N_2$ O-N (kg ha <sup>-1</sup> ) = 0.035(N <sub>total</sub> ) - 1.60	0.91	0.90	1.78 %	00

**Importance of variables other than inorganic and organic nitrogen inputs**: Rainfall and SOM (Soil organic matter) content are not individually significant as continuous variables in our model. We note that there is a significant linear relationship/correlation (r = 0.88) between rainfall (mm) and SOM for the complete millet dataset and this explains why the equations containing SOM and rainfall as continuous variables have similar AIC values. The Rainfall and SOM terms explain a similar fraction of variance (for each respective equation). The rainfall amount as a categorical variable would also be highly correlated with SOM. It is possible that rainfall as a categorical variable captures parameters other than SOM.

**Analyzing the combined datasets of the two millets**: When we replicated this same analysis with only finger millet, the results were very similar such that a quadratic equation, with rainfall as categorical variable has the best predictive power (highest R<sup>2</sup>) and lowest AIC. When we only considered finger-millet, we tested if SOM was a statistically significant predictor. However, both for the quadratic as well as the linear equation, SOM as a continuous variable was not statistically significant and was not adding any significant explanation of the variance. We note that Shcherbak et al (2014) made a powerful case for all the non-leguminous non-rice crops to be combined and we have followed their lead in combining the two non N-fixing crops. When we tested millet type (foxtail vs. finger millet) as a categorical variable, it was not statistically significant and further supports treating the two millets as a part of a group.

Equations	for	Groundnut
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Equation	Multiple R <sup>2</sup>	Adjusted R <sup>2</sup>	p value	AIC
Quadratic, including seed rate: $N_2O-N (kg ha^{-1}) = 2.34e^{-04}(N_{total})^2 - 1.82e^{-03}N_{total} + 1.06e^{-02}(seed rate) -9.14e^{-01}$ All parameters are statistically significant	0.97	0.94	0.007	-3.3
Quadratic: N <sub>2</sub> O-N (kg ha <sup>-1</sup> ) = $2.18e^{-04}(N_{total})^2 - 1.61e^{-03}N_{total} + 0.668$	0.86	0.79	0.019	6.4
Linear: N <sub>2</sub> O-N (kg ha <sup>-1</sup> ) = $0.0178(N_{total}) + 0.419$	0.77	0.72	0.009	8.0

We note that quadratic equation including linear dependence on groundnut seed rate at the time of sowing can apparently explain a lot more variance in the data than the equation without seed rate. However, we cannot explain the biogeochemical reasoning behind this statistical result. Yield can be an indicator of N fixation by the crop our regression analysis showed that pod yield was not significant as a continuous variable. Given our study was not designed to disentangle each component of the alternative management practices, we don't find it responsible to present other models with the same confidence as we do with the N rate models. Quadratic yield equation is not statistically significant.

### **Discussion and implications**

#### Inefficient fertilizer use in India

India is currently the second largest consumer of fertilizers in the world after China (Sharma and Thaker, 2011), with fertilizer use intensity (both per unit hectare and per unit yield) increasing steadily since 1960. While domestic fertilizer production has not increased significantly since the late 1990s (GOI, 2019), NPK fertilizer demand in India is projected to increase to >40 Mt by 2020, a 50% increase over 2010 (Sharma and Thaker, 2011). Between 2005 and 2010, annual fertilizer use per unit land area increased 7% in India, but crop yields increased by only  $\sim 2\%$  (Sharma and Thaker, 2011). Another indication of the low nutrient use efficiency in India is that grain production per unit fertilizer is half of that of the U.S. (EPI, 2014). In the absence of a concerted efforts to improve crop advisories for increase in nation's N<sub>2</sub>O emissions without addressing yield gaps (van Groenigen et al., 2010).

#### Importance of high intensity sampling

We could find no published data on the annual  $N_2O$  emissions from finger- or foxtailmillet cultivation in India or the world. Even globally, we found limited number of published field studies documenting  $N_2O$  emissions from other millets. The sampling regime utilized in previous studies on millets might not have captured all possible  $N_2O$  emission peaks because of the limited number of days on which field sampling was undertaken (Parkin, 2008). For example, measurements on Pearl-millet in Mali included only seven measurements during the season in which it rained on 36 distinct days (Dick, 2008). In accordance with studies which report GHG emission data following intense sampling (e.g., Parkin & Kaspar 2006 and references therein), our results show that for non-rice crops, it can take between 2-4 days for  $N_2O$  emissions to rise and come back to "background levels" after a significant rainfall event (>10 mm) and suggest that more continuous sampling should be done following each rain event.

#### Importance of involvement of local stakeholders and farmers

This study, which was a part of a multi-year agricultural GHG emission reduction project and a long term program to promote climate smart farming practices among small-scale farmers in peninsular India, explored the potential of N management to reduce  $N_2O$  emissions by increasing N use efficiency (or decrease N surplus), while improving crop productivity and farm income. Since we conducted our study at farmers' fields, the conditions of the farm were not artificially controlled, and temperature, rainfall/irrigation patterns represent the agro-ecological conditions faced by >640,000 ha in the entire AER 8.2 for finger-millet (see Figure 1 and SI Table 13) and ~980000 ha for groundnut in AER 3.0 (Kritee et al, 2015). The co-production of knowledge with stakeholders with multi-directional flows of information helps increase the confidence of the farming community in the efficacy of new techniques and should lead to increased implementation of best management practices (BMPs) as determined by this project (Snyder et al., 2009), and will help to reduce concerns associated with reducing nitrogen use.

## **Supporting figures**

#### S1 Fig. Impact of rainfall on yields during three foxtail-millet cropping seasons:

Foxtail millet requires 200-400 mm of rainfall (MOA, 2014). In 2013, lesser inorganic N was used for the High-N treatment than in 2014 (40 instead of 49 kg N ha<sup>-1</sup> with similar organic inputs for both LN and HN). However, the year 2013 recorded ~300 mm seasonal rainfall while the year 2014 recorded much lower seasonal rainfall (~56.9mm) after resowing. Thus yields in 2013 were much higher  $1059 \pm 5$ (HN) and  $959 \pm 5$  (LN) kg ha<sup>-1</sup> than the year 2014 which recorded very low yields <200 kg ha<sup>-1</sup>. Average yield in 2015 for various Low N practices at >30 farms was ~460 kg.ha<sup>-1</sup> when the rainfall of  $127 \pm 25$  mm was observed at five weather stations across the region. As can be seen in the figure below, sowing in 2014 was done in the month of September followed by ~4 rain events which was not sufficient for seed germination resulting in a need to resow foxtail millet seeds in mid-October. Please note that GHG emissions could not be monitored in 2013 and 2015.



#### S2 Fig. High N<sub>2</sub>O flux in fallow period after foxtail-millet growing season

This figure shows N<sub>2</sub>O fluxes recorded at three replicate high N (mainstream) agriculture sub-plots with same nitrogen fertilizer use at a foxtail-millet farm in 2014. Red lines represent timing of the addition of N fertilizers. Blue lines represent rainfall recorded in the weather station next to the farm. N<sub>2</sub>O emission peak during fallow period (30 days after harvest or 135 after sowing) likely because of rainfall and inorganic nitrogen left in the soil.



#### S3 Fig. Average N<sub>2</sub>O flux (with ± SE) for finger-millet for high rainfall site in 2015

This figure shows average N<sub>2</sub>O fluxes recorded at replicate treatments. Here, X-axis represents days after sowing. Red lines represent timing of the addition of N fertilizers. Blue lines represent rainfall recorded in the weather station next to the farm. FYM addition, if any, before sowing has not been shown. Please notice that the scale of Y axis differs significantly across different treatments.



#### S4 Fig. Average N<sub>2</sub>O flux (with ± SE) for finger-millet for low rainfall site (2012-2014)

This figure shows average N<sub>2</sub>O fluxes recorded at replicate treatments. Here, X-axis represents days after sowing. Red lines represent timing of the addition of N fertilizers. Blue lines represent rainfall recorded in the weather station next to the farm. FYM addition, if any, before sowing has not been shown. Please notice that the scale of Y axis differs significantly across different treatments.





#### S5 Fig. Average N<sub>2</sub>O flux (with ± SE) for foxtail-millet in 2014

This figure shows average  $N_2O$  fluxes recorded at replicate treatments. Here, X-axis represents days after sowing. Red lines represent timing of the addition of N fertilizers. Blue lines represent rainfall recorded in the weather station next to the farm. FYM addition, if any, before sowing has not been shown. Please notice that the scale of Y axis differs significantly across different treatments.



#### S6 Fig. Average N<sub>2</sub>O flux (with ± SE) for groundnut in *kharif* (2012-2014)

This figure shows average N<sub>2</sub>O fluxes recorded at replicate treatments. Here, X-axis represents days after sowing. Red lines represent timing of the addition of N fertilizers. Blue lines represent rainfall recorded in the weather station next to the farm. FYM addition before sowing has not been shown. Please note that the data from 2012 has already been published in Kritee et al (2015). Please notice that the scale of Y axis differs significantly across different treatments.





#### S7 Fig. Average N<sub>2</sub>O flux (with ± SE) for groundnut in *rabi* (irrigated season) 2012

This figure shows average  $N_2O$  fluxes recorded at replicate treatments. Here, X-axis represents days after sowing. Red lines represent timing of the addition of N fertilizers. Blue lines represent the timing of irrigation. Please note that this data from 2012 has already been published and discussed in Kritee et al (2015). Please notice that the scale of Y axis differs significantly across different treatments.





#### S8 Fig. Frequency distribution graphs of N<sub>2</sub>O flux for different replicate finger-millet replicate plots in 2015.



## S9 Fig. Frequency distribution graphs of N<sub>2</sub>O flux for different replicate finger-millet replicate plots in 2012-2014





## S10 Fig. Frequency distribution graphs of N<sub>2</sub>O flux for different replicate foxtail-millet plots in 2014



## S11 Fig. Frequency distribution graphs of N<sub>2</sub>O flux for different replicate groundnut plots in groundnut (*Kharif* 2012-2014)





## S12 Fig. Frequency distribution graphs of N<sub>2</sub>O flux for groundnut in 2012 (Rabi)

# Supporting datasets/tables

Supporting datasets associated with this report (Tables S1 to S13) are available on the Dryad portal at the persistent identifier: <u>https://doi.org/10.5061/dryad.cfxpnvx5r</u>

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S12 Table	Nitrous oxide emissions at all study farms during fallow periods
S13 Table	Area in agro-ecological region (AER) 8.2 under rainfed ragi cultivation

## Supporting references

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